

*Bounds for the number of  $p'$ -degree  
characters of finite groups and their  
 $p$ -blocks*

Nguyen N. Hung

(joint with G. Malle, A. Maróti, A. Schaeffer Fry, and C. Vallejo)

The University of Akron, USA

14th Iranian International Group Theory Conference  
February 3-4, 2022

# OVERVIEW

This talk has two main parts:

**Part 1:** We present some known bounds for the conjugacy class number of finite groups.

**Part 2:** We present some bounds on the number of representations/characters of certain degrees and/or values. These bounds naturally arise from conjugacy class number bounds and the so-called local/global conjectures (McKay, McKay-Navarro, Alperin-McKay,...).

## BOUNDING $k(G)$ IN TERMS OF $|G|$

- Let  $k(G)$  be the number of conjugacy classes of  $G$ .

### THEOREM (LANDAU, 1903)

*For any positive integer  $k$ , there are finitely many isomorphism classes of finite groups with exactly  $k$  conjugacy classes.*

## BOUNDING $k(G)$ IN TERMS OF $|G|$

- Let  $k(G)$  be the number of conjugacy classes of  $G$ .

### THEOREM (LANDAU, 1903)

*For any positive integer  $k$ , there are finitely many isomorphism classes of finite groups with exactly  $k$  conjugacy classes.*

**Brauer's Problem 3:** Find a good bounding function for  $k(G)$  in terms of  $|G|$ .

## BOUNDING $k(G)$ IN TERMS OF $|G|$

- Let  $k(G)$  be the number of conjugacy classes of  $G$ .

### THEOREM (LANDAU, 1903)

*For any positive integer  $k$ , there are finitely many isomorphism classes of finite groups with exactly  $k$  conjugacy classes.*

**Brauer's Problem 3:** Find a good bounding function for  $k(G)$  in terms of  $|G|$ .

### THEOREM (PYBER, 1992)

*There exists an explicitly computable constant  $\epsilon > 0$  such that every group  $G$  of order  $n$ :*

$$k(G) > \epsilon \frac{\log n}{(\log \log n)^8}.$$

# BOUNDING $k(G)$ IN TERMS OF $p$

## THEOREM (BRAUER, 1942)

Let  $P \in \text{Syl}_p(G)$  with  $|P| = p$ . Then the principal  $p$ -block  $B_0$  of  $G$  has  $e + \frac{p-1}{e}$  ordinary irreducible characters, where  $e := |\mathbf{N}_G(P) : \mathbf{C}_G(P)|$ .

# BOUNDING $k(G)$ IN TERMS OF $p$

## THEOREM (BRAUER, 1942)

Let  $P \in \text{Syl}_p(G)$  with  $|P| = p$ . Then the principal  $p$ -block  $B_0$  of  $G$  has  $e + \frac{p-1}{e}$  ordinary irreducible characters, where  $e := |\mathbf{N}_G(P) : \mathbf{C}_G(P)|$ .

▸ Brauer's result implies that  $k(G) \geq 2\sqrt{p-1}$  when  $|G|$  is divisible by  $p$  but not by  $p^2$ . This later was conjectured to be true for all groups of order divisible by  $p$ .

# BOUNDING $k(G)$ IN TERMS OF $p$

## THEOREM (BRAUER, 1942)

Let  $P \in \text{Syl}_p(G)$  with  $|P| = p$ . Then the principal  $p$ -block  $B_0$  of  $G$  has  $e + \frac{p-1}{e}$  ordinary irreducible characters, where  $e := |\mathbf{N}_G(P) : \mathbf{C}_G(P)|$ .

• Brauer's result implies that  $k(G) \geq 2\sqrt{p-1}$  when  $|G|$  is divisible by  $p$  but not by  $p^2$ . This later was conjectured to be true for all groups of order divisible by  $p$ .

## THEOREM (MARÓTI, 2016)

Let  $G$  be a finite group of order divisible by  $p$ . Then  $k(G) \geq 2\sqrt{p-1}$ .

This was proved earlier for solvable groups by Héthelyi and Külshammer (2000) and by Malle for non- $p$ -solvable groups by Malle (2006).



## CONJECTURE (McKAY, 1972)

If  $G$  is a finite group,  $p$  is a prime, and  $\text{Irr}_{p'}(G)$  is the set of all irreducible characters of  $G$  of degree not divisible by  $p$ , then

$$|\text{Irr}_{p'}(G)| = |\text{Irr}_{p'}(\mathbf{N}_G(P))|,$$

where  $P$  is a Sylow  $p$ -subgroup of  $G$ .

## CONJECTURE (McKAY, 1972)

If  $G$  is a finite group,  $p$  is a prime, and  $\text{Irr}_{p'}(G)$  is the set of all irreducible characters of  $G$  of degree not divisible by  $p$ , then

$$|\text{Irr}_{p'}(G)| = |\text{Irr}_{p'}(\mathbf{N}_G(P))|,$$

where  $P$  is a Sylow  $p$ -subgroup of  $G$ .

• **Example:** The alternating group  $G = A_5$  has irreducible characters of degrees 1, 3, 3, 4, 5. Let  $p = 5$ . The normalizer  $\mathbf{N}_G(P) = D_{10}$  has irreducible characters of degrees 1, 1, 2, 2. So

$$|\text{Irr}_{p'}(G)| = |\text{Irr}_{p'}(\mathbf{N}_G(P))| = 4.$$

## CONJECTURE (McKAY, 1972)

If  $G$  is a finite group,  $p$  is a prime, and  $\text{Irr}_{p'}(G)$  is the set of all irreducible characters of  $G$  of degree not divisible by  $p$ , then

$$|\text{Irr}_{p'}(G)| = |\text{Irr}_{p'}(\mathbf{N}_G(P))|,$$

where  $P$  is a Sylow  $p$ -subgroup of  $G$ .

▸ **Example:** The alternating group  $G = A_5$  has irreducible characters of degrees 1, 3, 3, 4, 5. Let  $p = 5$ . The normalizer  $\mathbf{N}_G(P) = D_{10}$  has irreducible characters of degrees 1, 1, 2, 2. So

$$|\text{Irr}_{p'}(G)| = |\text{Irr}_{p'}(\mathbf{N}_G(P))| = 4.$$

- In 2007, Isaacs, Malle, and Navarro reduced the conjecture to a problem on finite simple groups.
- In 2016, Malle and Spath proved the conjecture for the prime  $p = 2$ .

# BOUNDING $|\text{Irr}_{p'}(G)|$

- ▶ The McKay conjecture and the bound  $k(G) \geq 2\sqrt{p-1}$  imply that

$$|\text{Irr}_{p'}(G)| \geq 2\sqrt{p-1}$$

for every  $G$  of order divisible by  $p$ . This was confirmed by Malle and Maróti in 2016.

# THE MCKAY-NAVARRO CONJECTURE

- Let  $\mathcal{G}_p$  be the subgroup of the Galois group  $\mathcal{G} := \text{Gal}(\mathbb{Q}(e^{2\pi i/|G|})/\mathbb{Q})$  consisting of those automorphism  $\sigma \in \mathcal{G}$  such that every root of unity  $\xi \in \mathbb{Q}(e^{2\pi i/|G|})$  of order not divisible by  $p$  to  $\xi^{p^f}$  for some  $f \in \mathbb{Z}^{\geq 0}$ .

## CONJECTURE (NAVARRO, 2004)

*Let  $G$  be a finite group,  $p$  a prime, and  $P$  a Sylow  $p$ -subgroup of  $G$ . Then there exists a bijection from  $\text{Irr}_{p'}(G)$  to  $\text{Irr}_{p'}(\mathbf{N}_G(P))$  that commute with the action of  $\mathcal{G}_p$ .*

# THE MCKAY-NAVARRO CONJECTURE

- Let  $\mathcal{G}_p$  be the subgroup of the Galois group  $\mathcal{G} := \text{Gal}(\mathbb{Q}(e^{2\pi i/|G|})/\mathbb{Q})$  consisting of those automorphism  $\sigma \in \mathcal{G}$  such that every root of unity  $\xi \in \mathbb{Q}(e^{2\pi i/|G|})$  of order not divisible by  $p$  to  $\xi^{p^f}$  for some  $f \in \mathbb{Z}^{\geq 0}$ .

## CONJECTURE (NAVARRO, 2004)

*Let  $G$  be a finite group,  $p$  a prime, and  $P$  a Sylow  $p$ -subgroup of  $G$ . Then there exists a bijection from  $\text{Irr}_{p'}(G)$  to  $\text{Irr}_{p'}(\mathbf{N}_G(P))$  that commute with the action of  $\mathcal{G}_p$ .*

- The  **$p$ -rationality level** of a character  $\chi$  is  $\log_p(c(\mathbb{Q}(\chi))_p)$ , where  $c(F)$  – the **conductor** of  $F$  – is the smallest positive integer  $n$  such that  $F \subseteq \mathbb{Q}(e^{2i\pi/n})$ .

# THE MCKAY-NAVARRO CONJECTURE

- Let  $\mathcal{G}_p$  be the subgroup of the Galois group  $\mathcal{G} := \text{Gal}(\mathbb{Q}(e^{2\pi i/|G|})/\mathbb{Q})$  consisting of those automorphism  $\sigma \in \mathcal{G}$  such that every root of unity  $\xi \in \mathbb{Q}(e^{2\pi i/|G|})$  of order not divisible by  $p$  to  $\xi^{p^f}$  for some  $f \in \mathbb{Z}^{\geq 0}$ .

## CONJECTURE (NAVARRO, 2004)

*Let  $G$  be a finite group,  $p$  a prime, and  $P$  a Sylow  $p$ -subgroup of  $G$ . Then there exists a bijection from  $\text{Irr}_{p'}(G)$  to  $\text{Irr}_{p'}(\mathbf{N}_G(P))$  that commute with the action of  $\mathcal{G}_p$ .*

- The  **$p$ -rationality level** of a character  $\chi$  is  $\log_p(c(\mathbb{Q}(\chi))_p)$ , where  $c(F)$  – the **conductor** of  $F$  – is the smallest positive integer  $n$  such that  $F \subseteq \mathbb{Q}(e^{2i\pi/n})$ .
- The numbers of characters in  $\text{Irr}_{p'}(G)$  and  $\text{Irr}_{p'}(\mathbf{N}_G(P))$  at each  $p$ -rationality level are the same.

# BOUNDING $|\text{Irr}_{p', \text{almost } p\text{-rational}}(G)|$

- ▶ A character  $\chi$  is called almost  $p$ -rational if its  $p$ -rationality level is either 0 or 1.
- ▶ The McKay-Navarro conjecture and the bound  $k(G) \geq 2\sqrt{p-1}$  imply that  $|\text{Irr}_{p', \text{almost } p\text{-rational}}(G)| \geq 2\sqrt{p-1}$ .



## BOUNDING $|\text{Irr}_{p', \text{almost } p\text{-rational}}(G)|$

- ▶ A character  $\chi$  is called almost  $p$ -rational if its  $p$ -rationality level is either 0 or 1.
- ▶ The McKay-Navarro conjecture and the bound  $k(G) \geq 2\sqrt{p-1}$  imply that  $|\text{Irr}_{p', \text{almost } p\text{-rational}}(G)| \geq 2\sqrt{p-1}$ .

### THEOREM (H.-MALLE-MARÓTI, 2021)

Let  $G$  be a finite group of order divisible by  $p$ . Then

$$|\text{Irr}_{p', \text{almost } p\text{-rational}}(G)| \geq 2\sqrt{p-1}.$$

Moreover,  $|\text{Irr}_{p', \text{almost } p\text{-rational}}(G)| = 2\sqrt{p-1}$  iff  $|\text{Irr}_{p', \text{almost } p\text{-rational}}(\mathbf{N}_G(P))| = 2\sqrt{p-1}$  (which happens when  $P$  is cyclic and  $\mathbf{N}_G(P)$  is isomorphic to the Frobenius group  $P \rtimes C_{\sqrt{p-1}}$ ).

# THE ALPERIN-MCKAY CONJECTURE

- ▶ For a  $p$ -block  $B$  of a finite group, let  $k_0(B)$  denote the number of height zero characters of  $B$ .

## CONJECTURE (ALPERIN, 1975)

*Let  $B$  be a block of  $G$ . Then  $k_0(B) = k_0(b)$ , where  $b$ , a block of  $\mathbf{N}_G(P)$ , is the Brauer correspondent of  $B$ .*

# THE ALPERIN-MCKAY CONJECTURE

- For a  $p$ -block  $B$  of a finite group, let  $k_0(B)$  denote the number of height zero characters of  $B$ .

## CONJECTURE (ALPERIN, 1975)

*Let  $B$  be a block of  $G$ . Then  $k_0(B) = k_0(b)$ , where  $b$ , a block of  $\mathbf{N}_G(P)$ , is the Brauer correspondent of  $B$ .*

- For principal blocks, we would have  $k_0(B_0(G)) = k_0(B_0(\mathbf{N}_G(P))) = k(\mathbf{N}_G(P)/\mathbf{O}_{p'}(\mathbf{N}_G(P)P'))$ , and therefore  $k_0(B_0(G)) \geq 2\sqrt{p-1}$ .

# HEIGHT 0 CHARACTERS IN BLOCKS

## THEOREM (H.-SCHAEFFER FRY-VALLEJO, 2021)

*Let  $G$  be a finite group of order divisible by  $p$ . Then the number of  $p'$ -degree characters in the principal block of  $G$  is always at least  $2\sqrt{p-1}$ . In other words,*

$$p \leq \frac{1}{4}k_0(B_0(G))^2 + 1.$$

# HEIGHT 0 CHARACTERS IN BLOCKS

## THEOREM (H.-SCHAEFFER FRY-VALLEJO, 2021)

*Let  $G$  be a finite group of order divisible by  $p$ . Then the number of  $p'$ -degree characters in the principal block of  $G$  is always at least  $2\sqrt{p-1}$ . In other words,*

$$p \leq \frac{1}{4}k_0(B_0(G))^2 + 1.$$

▶ This confirms the principal block case of a conjecture of Héthelyi and B. Külshammer in 2000 that  $k(B) \geq 2\sqrt{p-1}$  for every block  $B$  of positive defect.

# HEIGHT 0 CHARACTERS IN BLOCKS

## THEOREM (H.-SCHAEFFER FRY-VALLEJO, 2021)

Let  $G$  a finite group and  $p$  a prime. Let  $P$  be a Sylow  $p$ -subgroup and  $B_0$  denote the principal  $p$ -block of  $G$ . We have:

- 1) For  $k \in \{2, 3\}$ ,  $k_0(B_0) = k$  if, and only if,  $P$  has order  $k$ .
- 2)  $k_0(B_0) = 4$  if, and only if, exactly one of the following happens:
  - (i)  $[P : P'] = 4$ ,
  - (ii)  $|P| = 5$  and  $[\mathbf{N}_G(P) : \mathbf{C}_G(P)] = 2$ .
- 3)  $k_0(B_0) = 5$  if, and only if, exactly one of the following happens:
  - (i)  $|P| = 5$  and  $[\mathbf{N}_G(P) : \mathbf{C}_G(P)] \in \{1, 4\}$ ,
  - (ii)  $|P| = 7$  and  $[\mathbf{N}_G(P) : \mathbf{C}_G(P)] \in \{2, 3\}$ .

The cases  $k_0(B_0) = 3$  for  $p = 3$  and  $k_0(B_0) = 4$  for  $p = 2$  were proved by Navarro, Sambale, and Tiep in 2018.

▸ Brauer's Problem 21 predicts that, for every positive integer  $k$ , there are finitely many isomorphism classes of groups which can occur as defect groups of blocks with  $k$  ordinary irreducible characters. This was shown to be a consequence of the Alperin-McKay conjecture and Zelmanov's solution of the restricted Burnside problem by Külshammer and Robinson. We propose the following variation of Brauer's problem 21 for height zero characters.

### CONJECTURE (H.-SCHAEFFER FRY-VALLEJO, 2021)

*For every positive integer  $k_0$ , there are finitely many isomorphism classes of (abelian) groups (of prime power order) which can occur as abelianizations of defect groups of blocks (of finite groups) with precisely  $k_0$  height-zero irreducible characters.*

Thank you very much for your attention!